

i. Basic Details of the Stock Broker:

Stock Broker Name	Registration Number	Registered Address	Branch Address	Contact Number	Email ID
FOCUS STOCK BROKER S LTD	1. INZ000288836 2. IN-DP-701-2022	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	011-28711080 011-41925330 011-41925331	contact@niveshak.com

Escalation Matrix:

Details of	Contact Person	Address	Contact No.	Email ID
Customer Care	Mr. Saurabh	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	011-28711878 011-41925331	sachin8860028876@gmail.com
Head of Customer Care	Mr. Tarun Taneja	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	011-41925330 011-28711723	taruntaneja216@gmail.com
Compliance Officer	Mr. Ramesh Kumar	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	011-28714145	rkumar623@gmail.com
Director	Mr. Siddhant Mantry	1C/13 Rajnigandha Building, New Rohtak Road, New Delhi 110005	011-28711080	siddhant.mantry@gmail.com

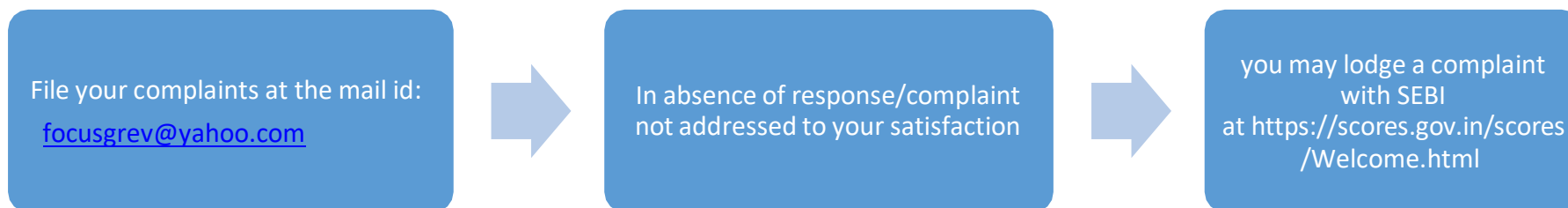
ii. Names and Contact Details of all Key Managerial Personnel including Compliance Officer:

S.no.	Name of the Individual	Designation	Mobile Number	Email ID
1	Mr. Siddhant Mantry	Managing Director	9654406688	siddhant.mantry@gmail.com
2	Mr. Anil Kumar Mantry	Director	9311225111	anil.mantry@yahoo.com
3	Mrs. Babita Mantry	Director	9868455077	babitanmantry2017@gmail.com
4	Ms. Ramesh Kumar	Company Secretary	9873941740	rkumar623@gmail.com

iii. Step by step procedure for opening an account, filing a complaint on designated email ID, and finding out the status of complaint



Filing and tracking a Complaint on Designated Email ID



- Clients are required to mail their complaints at focusgrev@yahoo.com
- Clients can track their complaints at the mail ID focusgrev@yahoo.com
- In absence of response/complaint not addressed to your satisfaction, you may lodge a complaint with SEBI at SCORES (<https://scores.gov.in/scores/Welcome.html>) or Exchange at NICEPLUS, e complaint Investors Portal, Investor Grievance RedressalSystem (IGRS).
- Please quote your Service Ticket/Complaint Ref No. while raising your complaint at SEBI SCORES/Exchange portal.

iv. Details of Authorized Persons (APs)

Details of Authorized Persons

List Of Authorised Persons (AP)										
Sr.No	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitution	Status	Registered Address				Terminal Details (Exchange Wise)	
				(Approved / Cancelled)	Add	City	State	Pin code	Terminal Allotted (Y/N)	No. of Terminals
1	NIL									

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons

Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
1	NIL			